

SEP 20 2000

TECHNICAL 1500/2900

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PATENT  
Docket No. 406462000102

**CERTIFICATE OF HAND DELIVERY**

I hereby certify that this correspondence is being hand filed with the United States Patent and Trademark Office in Washington, D.C. on September 11, 2000.

*Howard R. Harris*

Howard R. Harris



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

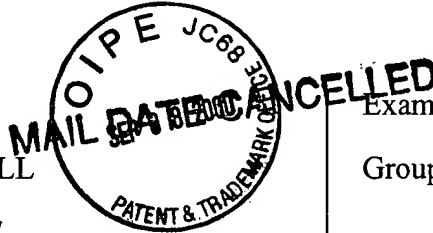
In the application of:

George H. LOWELL

Serial No.: 09/407,327

Filing Date: September 28, 1999

For: ORAL OR INTRANASAL VACCINES  
USING HYDROPHOBIC COMPLEXES  
HAVING PROTEOSOMES AND  
LIPOPOLYSACCHARIDES



Examiner: J. Graser

Group Art Unit: 1641

**INFORMATION DISCLOSURE  
STATEMENT UNDER 37 C.F.R. § 1.97**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents were previously submitted in an Information Disclosure Statement and/or Office Action, directed to the related Application Serial Number 08/677,302, now Patent Number 5,985,284, issued November 16, 1999, and, accordingly, copies are not included herewith. This protocol conforms with 37 C.F.R. §1.98(d) and M.P.E.P. 609(A)(2). The Examiner is requested to make these documents of record in the application.

This Information Disclosure Statement is submitted after receipt of a first Office Action

on the merits but before mailing of a final Office Action or Notice of Allowance. A fee is required. A check in the amount of \$240.00 is enclosed.

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

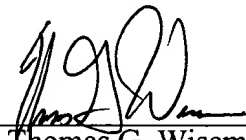
The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 is to the best of my knowledge and is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, applicant petitions for any required relief including extensions of time and authorizes the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing 40646-20001.02. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: September 11, 2000

Respectfully submitted,

By: \_\_\_\_\_



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